11-15-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

T. UCHIDA, et al.

Serial No.:

Not Yet Assigned

(Continuation Application of SN 09/763,891

Filed: February 28, 2001)

Filed:

October 15, 2001

For:

ABRASIVE LIQUID FOR METAL AND METHOD

OF POLISHING

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.97 & §1.98</u>

Assistant Commissioner of Patents Washington, D.C. 20231

October 15, 2001

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with prior application Serial No. 09/763,891, filed February 28, 2001.

Since all documents on the enclosed List were cited in connection with prior application Serial No. 09/763,891, which is being relied upon under 35 USC §120 in the above-identified application, copies of the listed documents are not enclosed. See 37 CFR §1.98(d).

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified Continuation application. Accordingly, clearly the requirements of 37 CFR §1.97(b) are satisfied.

To the extent that the listed documents are not in English, it is respectfully submitted that the requirements of 37 CFR §1.98(a)(3) are satisfied by copies of the Abstracts of these documents, submitted in Serial No. 09/763,891, and the International Search Report also submitted in Serial No. 09/763,891.

In view of all the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with all documents on the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper to the Deposit Account No. 01-2135 (Case No. 566.39787CX1) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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